JC10 Rec'd PCT/PTO 1 6 MAY 2005

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT O F COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| TRANSMITTAL LETTER TO THE UNITED STATES |
|--|
| DESIGNATED/ELECTED OFFICE (DO/EO/US) |
| CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 |

ATTORNEY'S DOCKET NUMBER 130098-1001

| CO | N | CER | NING A SUBMISS | U.S. APPLICATION NO. (If known, see 37 CFR 1.5) | | | | | | |
|-------|--|---|--|---|--|--|--|--|--|--|
| | | onal Ap 12003/0 | oplication No. 01526 | International Filing Date November 14, 2003 | Priority Date Claimed November 15, 2002 | | | | | |
| Title | of l | Inventio | on g Delivery Device and Method | | | | | | | |
| Appl | icar | it(s) for | DO/EO/US ter Wharton and Ben Huber | · · | : | | | | | |
| Appli | | | | s Designated/Elected Office (DO/EO/US) the | following items and other information. | | | | | |
| 1. 🗵 | ₹] | This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 2. 🗆 |] | This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. | | | | | | | | |
| 3. ☒ | 3 | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. | | | | | | | | |
| 4. 🗵 | ₹ | The US has been elected (Article 31). | | | | | | | | |
| 5. 🗵 | A copy of the International Application as filed (35 U.S.C. 371(c)(2)) | | | | | | | | | |
| | a. □ is attached hereto (required only if not communicated by the International Bureau). b. ☑ has been communicated by the International Bureau. c. □ is not required, as the application was filed in the United States Receiving Office (RO/US). | | | | | | | | | |
| 6. ⊏ | 3 | An Eng | glish language translation of the | e International Application as filed (35 U.S.C | . 371(c)(2)). | | | | | |
| | | a. □ b. □ | is attached hereto. has been previously submitted | 1 under 35 U.S.C. 154(d)(4). | | | | | | |
| 7. 🗵 | 3 | Ameno | dments to the claims of the Inte | rnational Application under PCT Article 19 (| 35 U.S.C. 371(c)(3)) | | | | | |
| | | a. 🗵 b. 🖾 c. 🗆 d. 🗆 | have been communicated by t | , the time limit for making such amendments | , | | | | | |
| 8. 🗆 |) | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). | | | | | | | | |
| 9. 🗆 |] | An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). | | | | | | | | |
| 10. □ | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). | | | | | | | | | |
| It | em | s 11 to | 20 below concern document(s | s) or information included: | | | | | | |
| 11. C | כ | An Information Disclosure Statement under 37 CFR 1.97 and 1.98. | | | | | | | | |
| 12. C |) | An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. | | | | | | | | |
| 13. E |] | A preliminary amendment. | | | | | | | | |
| 14. 🛭 | X | An Application Data Sheet under 37 CFR 1.76. | | | | | | | | |
| 15. C |] | A substitute specification. | | | | | | | | |
| 16. C |) | A power of attorney and/or change of address letter. | | | | | | | | |
| 17. 🛭 | כ | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. | | | | | | | | |
| 18. 🛭 | X | A second copy of the published International Application under 35 U.S.C. 154(d()4). | | | | | | | | |
| 19. C | כ | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). | | | | | | | | |
| 20. 🛭 | XI | Other items or information: International Search Report; International Preliminary Examination Report w/Article 34 Amendment: Form | | | | | | | | |

PCT/IB/306 Notification of the Recording of a Change; Amendments filed 1/14/05 and 1/21/05; correspondence advising of a name change to Medistream; and correspondence requesting a correction to the name of applicant to Medi-Stream.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minute to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS: SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

JC13 Rec'd PCT/PTO 16 MAY 2005

Approved for use through 3/31/2007, OMB 0651-0021

U.S. Patent and Trademark Office; U.S. DEPARTMENT 0 F COMMERCE d to a collection of information unless it displays a valid OMB control number.

| U.S. APPLICAT | ION NO. (if know | n_see 37 CFR | 1.5) INTERNATIONA PCT/AU2003/00 | AL AP | PLICATION NO.: | ATTORNEY'S D | OCKET NUMBER | | | | |
|---|--|------------------|-------------------------------------|------------|-------------------------|-----------------------|-------------------|--|--|--|--|
| Unknown The follow | 10/53 wag rees have bee | | 130098-1001 CALCULATIONS PTO USE | | | | | | | | |
| | | | ******************************** | | \$300 | ONLY | is 110 CSE | | | | |
| | | | | | | \$300.00 | | | | | |
| 22. 🗵 Exami | nation fee | | | | | \$200.00 | | | | | |
| If International preliminary examination report prepared by USPTO and all claims satisfy provisions | | | | | | | | | | | |
| of PCT Article 33(1)-(4) | | | | | | | | | | | |
| All other situations | | | | | | | | | | | |
| | | | | | | | | | | | |
| 23. \(\begin{align*} \text{Search fee} \\ \text{Search foe} \(\frac{17}{37} CEP 1.445(a)(2) has been paid on the international application to the USPTO as an | | | | | | | | | | | |
| Search fee (37 CFR 1.445(a)(2) has been paid on the international application to the USPTO as an International Searching Authority | | | | | | | | | | | |
| International Sea | rch Report prepare | | | | | | | | | | |
| All other situatio | ns | <u> </u> | | | \$500 | \$400.00 | | | | | |
| | | | 21, 22 and 23 = | | | \$ | | | | | |
| | | | s filed in paper over 100 s | | | | | | | | |
| | | | g filed in an electronic me | | | | | | | | |
| The fee is \$ | | | s of paper or fraction there | | D A TOP | | | | | | |
| Total Sheets | Extra Sheets | 1 | each additional 50 or frac | | RATE | | | | | | |
| - 100 = | /50 = | uncreoi (F | ound up to a whole humbe | ci) | x \$250 | | | | | | |
| - 100 - | /30 = | | | | Λ ΨΔΟΟ | \$-0- | | | | | |
| Surcharge of \$13 | 0.00 for furnishing | the oath or d | eclaration later than 30 mc | onths f | rom the earliest | | | | | | |
| | date (37 CFR 1.492 | | | | | \$130.00 | | | | | |
| | | | I | | | | | | | | |
| CLAIMS | | ER FILED | NUMBER EXTRA | <u> </u> | RATE | \$ | | | | | |
| Total Claims | 63 | - 20 = | 43 | | x \$ 50 | \$2150.00 | | | | | |
| Independent clair | ms 3 PENDENT CLAIM | | 0 | - | x \$200 + \$360 | \$-0- \$ | | | | | |
| MOLTIPLE DE | PENDENT CLAIV | i(S) (ii applica | TOTAL OF ABOVI | L E CAI | | \$3180.00 | | | | | |
| X Applicant cl | aims small entity s | tatus See 37 | CFR 1.27. Fees above are | | | \$5100.00 | | | | | |
| Applicant ci | airis sitiati citity s | iatas. See 57 | CIR 1.27. I ces above are | reade | .cu by 72. | | | | | | |
| | | | | | SUBTOTAL = | \$1590.00 | | | | | |
| Processing fee of | \$130.00 for furnis | hing the Engl | ish translation later than 3 | 0 mon | ths from the earliest | | | | | | |
| claimed priority | date (37 CFR 1.492 | \$-0- | | | | | | | | | |
| | | | | | TIONAL FEE = | \$1590.00 | | | | | |
| | | | R 1.21(h)). The assignment | ent mu | st be accompanied | \$ | | | | | |
| by an appropriate | cover sheet (37 C | FK 3.26, 3.31 |). \$40.00 per property | FFF | S ENCLOSED = | \$1590.00 | | | | | |
| | | | TOTAL | FEE | 3 ENCLOSED - | Amount to be | \$ | | | | |
| | | | | | | refunded: | | | | | |
| | | | | | | Amount to be | \$ | | | | |
| | | | | | | charged: | | | | | |
| a. 🗵 A check | in the amount of \$ | 1 <u>590.00</u> | | 1 | to cover the above fee | s is enclosed. | | | | | |
| | | | | | 6.6 | | C | | | | |
| | harge my Deposit A cate copy of this sh | | in the am | iount o |)I 9 | to cover the above | e iees. | | | | |
| A dupite | cate copy or this sit | eet is enclosed | J. | | | | | | | | |
| c. 🗵 The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit | | | | | | | | | | | |
| Account No. | | | A duplicate copy of this s | | | , FJ | • • • | | | | |
| | | | | | | | | | | | |
| | | | VARNING: Information | | | iblic. Credit card in | nformation should | | | | |
| not be included on this form. Provide credit card information and authorization on PTO-2038. | | | | | | | | | | | |
| NOTE: Where | an annronriate tic | me limit unda | er 37 CFR 1.495 has not l | heen n | net, a petition to revi | ve_/37_ØFR_1.13/f(s) | or (b)) must be | | | | |
| | | | | | | | , y. (0,,,ust be | | | | |
| filed and granted to restore the International Application to pending status. | | | | | | | | | | | |
| SEND ALL COF | TOOL | | | | | | | | | | |
| Tim Headley (SIGNATURE | | | | | | | | | | | |
| | Vynne Sewell LLP isiana, Suite 3400 | Т: | Headley | | | | | | | | |
| | Texas 77002 | NA | | | | | | | | | |
| , nousion, | . 0.145 / 7002 | | | | NA. | | | | | | |
| 31,765 | | | | | | | | | | | |
| | | | | _ | REGISTRATI | ON NUMBER | | | | | |

FORM PTO-1390 (REV. 02-2005)

IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371

Applicants: David Peter Wharton)
Ben Huber)

Application Number: Not Assigned

Filed: May 16, 2005

Int'l App. No.: PCT/AU2003/001526

Int'l Filing Date: November 14, 2003

Priority Date: November 15, 2002

Group Art Unit: Not Assigned

Examiner: Not Assigned

For: DRUG DELIVERY DEVICE

AND METHOD

I hereby certify that this document is being deposited on this day with the U.S. Postal Service as, Express Mail under 37 C.F.R. 1.10 and is addressed to: MS PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22343-T150

Signature of Person Mailing Correspondence

Deborah Foots

Typed or Printed Name of Person Mailing

Correspondence

EV 451434259 US Express Mail Label Number

Date of Signature:

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

TRANSMITTAL LETTER

- 1. Application Data Sheet;
- 2. Transmittal Letter to the U.S. Designated/Elected Office (DO/EO/US) Concerning a Filing Under 35 U.S.C. 371;
- Copy of Published Application with International Search Report;
- 4. Copy of International Preliminary Examination Report with Article 34 Amendment;
- 5. Copy of Article 19 Amendments filed 3/22/04;
- 6. Copy of Amendments filed 1/14/05;
- 7. Copy of Amendments filed 1/21/05;
- 8. Copy of correspondence dated 4/27/05 advising of name change;

10/535110

Rec'd PCT/PTO 16 MAY 2009

Attorney Docket 130098-1001

Dated: May 16, 2005

- 9. Copy of correspondence dated 5/11/05 requesting correction of name;
- Check for \$1590.00; and 10.
- 11. Return postcard.

Applicants believe that no additional fees are due for the filing of these papers. However, if any additional fees are due, or any overpayments have been made, please charge, or credit, Deposit Account No. 07-0153.

Respectfully submitted,

Tim Headley

Attorney for Applicant / Registration No.: 31,765 Customer No. 37058

Gardere Wynne Sewell LLP 1000 Louisiana Street, Suite 3100

Houston, Texas 77002-5007 Phone: (713) 276-5320 Fax: (713) 276-6320

theadley@gardere.com

JC13 Rec'd PCT/PTO 16 MAY 2009

FISHER ADAMS KELLY

Patent & Trade Mark Attorneys

13/10 Eagle Street

Brisbane QLD 4000 GPO Box 1413

Brisbane QLD 4001 Telephone: (07) 3229 2655

Facsimile: (07) 3221 0597

ATTORNEY CODE: FK

OUR REF:

13904PC2-PMT/SJR

VIA FACSIMILE ONLY: 02 6283 7999

21 January 2005

URGENT FINAL DATE FOR IPER 15 MARCH 2005

The Receiving Office IP Australia WODEN ACT 2606

Attention: David Melhuish

SIR

INTERNATIONAL PATENT APPLICATION PCT/AU03/01526

Owner:

David Peter Wharton

Title:

DRUG DELIVERY DEVICE AND METHOD

I refer to the telephone discussion this morning between yourself and Sarah Rizzo of our offices and attach herewith corrected amended page 54 as requested.

Thank you for your advice.

Yours respectfully

EISHER ADAMS KELLY

RÓBIN T. KELLY

Encl: Amended page 54

FISHER ADAMS KELLY

Patent & Trade Mark Attorneys

13/10 Eagle Street

Brisbane QLD 4000 GPO Box 1413

Brisbane QLD 4001

Telephone: (07) 3229 2655

Facsimile: (07) 3221 0597

ATTORNEY CODE: FK

OUR REF:

13904PC2-PMT/SJR

27 April 2005

URGENT-30 MONTH DEADLINE

15 MAY 2005

VIA FACSIMILE: +41 22 740 14 35

International Bureau of WIPO 34 chemin des Colombettes 1211 GENEVA 20 SWITZERLAND

SIR

INTERNATIONAL PATENT APPLICATION PCT/AU03/01526

Owner:

David Peter Wharton

Title:

DRUG DELIVERY DEVICE AND METHOD

We request the above identified application be amended to show Medistream Pty Ltd as the applicant in all countries other than the USA.

We enclose an Appointment of Agent authorising us to act on behalf of the assignee.

Thank you for your assistance in this matter. Could you please acknowledge receipt of this correspondence.

Yours respectfully

FISHER ADAMS KELLY

PAUL M. THOMPSON

Appointment of Agent

The PTO did not receive the following listed itom(s) the enclosean

BEST AVAILABLE COPY

JC13 c'd PCT/PTO 16 MAY 2005

FISHER ADAMS KELLY

Patent & Trade Mark Attorneys

13/10 Eagle Street

Brisbane QLD 4000 GPO Box 1413 Brisbane QLD 4001 Telephone: (07) 3229 2655

Facsimile: (07) 3221 0597

ATTORNEY CODE: FK

OUR REF:

13904PC2-PMT/SJR

11 May 2005

URGENT-30 MONTH DEADLINE 15 MAY 2005

VIA FACSIMILE: +41 22 740 14 35

International Bureau of WIPO 34 chemin des Colombettes 1211 GENEVA 20 SWITZERLAND

SIR

INTERNATIONAL PATENT APPLICATION PCT/AU03/01526

Owner:

David Peter Wharton

Title:

DRUG DELIVERY DEVICE AND METHOD

We refer to our request of 27 April 2005 to amend the above identified application to Medistream Pty Ltd as the applicant in all countries other than the USA.

It has been brought to our attention that the spelling of the applicant should in fact be Medi-Stream Pty Ltd. Could you kindly note and record this change.

Yours respectfully FISHER ADAMS KELLY

PAUL M. THOMPSON